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CPA/1642
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*LIMITED TO MATTERS
AND PROCEEDINGS BEFORE
FEDERAL COURTS & AGENCIES
**REGISTERED PATENT AGENT
***SENIOR COUNSEL

February 11, 2002

Commissioner for Patents
Washington, D.C. 20231

WRITER'S DIRECT NUMBER:
(202) 371-2619
INTERNET ADDRESS:
SCHWARTZ@SKGF.COM

Box: CPA

Re: U.S. Utility Patent Application
Request for Continued Prosecution Application (CPA)
Appl. No. 09/263,689; Filed: March 5, 1999
For: **Galection 9 Polypeptides**
Inventors: Ni *et al.*
Our Ref: 1488.0560002/EKS/ALS

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal Form (PTO/SB/17);
2. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1);
3. CPA Transmittal Form (PTO/SB/29);
4. Request for Interview with Examiner Prior to Continued Examination;
5. Preliminary Amendment;
6. Check no. 34141 in the amount of \$1,860.00 to cover:
\$740.00 Basic filing fee (37 C.F.R. § 1.16(a));
\$720.00 Extra claim fee (37 C.F.R. 1.16);
\$400.00 Extension fee (37 C.F.R. § 1.136); and
7. One (1) return postcard.

Commissioner for Patents
February 11, 2002
Page 2

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Aaron L. Schwartz
Agent for Applicants
Registration No. 48,181

EKS/ALS/lam
Enclosures

FEB 1 1 2002
 PATENT & TRADEMARK OFFICE
 J098

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**1,860.00**

Complete if Known

Application Number 09/263,689
 Filing Date March 5, 1999 (CPA Filed February 11, 2002)
 First Named Inventor Jian Ni
 Examiner Name Karen A. Canella
 Group Art Unit 1642
 Attorney Docket No. 1488.0560002/EKS/ALS

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 19-0036
 Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☒ Other*
 *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

I. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 740.00

2. EXTRA CLAIM FEES

Extra	Fee from below	Fee Paid
Total Claims 46 - 20** = 26	X 18.00	= 468.00
Indep. Claims 6 - 3** = 3	X 84.00	= 252.00
Multiple Dependent 0	= 0.00	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
108	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 720.00

** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,280	241	640	Petition to revive - unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	130	123	130	Petitions related to provisional applications
126	180	126	180	Submission of Information Disclosure Stmt
581	40	481	40	Recording each patent assignment per property (times number of properties)
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

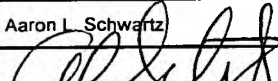
Other fee (specify):

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 400.00

SUBMITTED BY

Name (Print/Type)	Aaron I. Schwartz	Registration No. (Attorney/Agent)	48,181	Telephone	202-371-2600
Signature		Date	2/11/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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In re application of:

Ni *et al.*

Appl. No. 09/263,689

Filed: March 5, 1999 (CPA Filed February 11, 2002)

For: **Galectin 9 Polypeptides**

Confirmation No.: 2137

Art Unit: 1642

Examiner: Karen A. Canella

Atty. Docket: 1488.0560002/EKS/ALS

Request for Interview with Examiner Prior to Continued Examination

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants respectfully request an interview with Examiner prior to continued examination.

Prompt and favorable consideration of this Request is respectfully requested.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Aaron L. Schwartz
Agent for Applicants
Registration No. 48,181

Date: 2/11/02

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